



Attorney Docket No: CPMC-010/00US

sector ✓
#3
PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on June 11, 2001.

By: Hanna Hacham 6-11-01
Hanna Hacham

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of SHANAZ H. DAIRKEE, et al.

Serial No.: 09/816,460

Examiner:

Filed: March 23, 2001

Art Unit: 1643

For: PROGNOSTIC METHODS FOR BREAST CANCER

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(e) mailed May 30, 2001, enclosed are:

- ☒ copy of the Notice to File Missing Parts of Application
- ☒ executed Declaration
- ☒ Power of Attorney
- ☒ Assertion of Entitlement to Small Entity Status
- ☐ Application Data Sheet
- ☒ Copy of Assignment

and the payment of the following fee(s):

- ☐ Filing fee of \$
- ☒ Surcharge fee for Late Filing of Declaration of \$ 65.00
- ☐ Other fees:

Total Fee due: \$ 65.00 .

☒ Check No. 14639 in the amount of \$ 65.00 for the total fee is attached.

☐ Please charge \$___ to Deposit Account No. 03-3117 for the total fee. This paper is being submitted in duplicate.

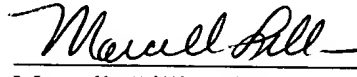
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated:

Respectfully submitted,
COOLEY GODWARD LLP

Cooley Godward LLP
Attn: Patent Group
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306-2155
Tel: (650) 843-5000
Fax: (650) 857-0663
ML:hh

By:



Marcella Lillis, Ph.D.
Reg. No. 36,583



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/816,460	03/23/2001	Shanaz H. Dairkee	CPMC-010/00US

23419
COOLEY GODWARD, LLP
3000 EL CAMINO REAL
5 PALO ALTO SQUARE
PALO ALTO, CA 94306

CONFIRMATION NO. 6434

FORMALITIES LETTER



OC00000006128271

Date Mailed: 05/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

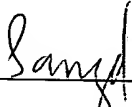
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/22/2001 BSAYASI1 00000011 09816460

01 FC:205

65.00 DP



06-12-02

GP #1643
RECEIVED
JUN 19 2002
PATENT
TECH CENTER 1600/2900

Attorney Docket No. CPMC-010/00US

Express Mail Label Number: **EL514032024US**

Date of Deposit: **June 10, 2002 (Monday)**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

Date:

10 June 2002

By:

Vladimir Skliba

Vladimir Skliba

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of SHANAZ H. DAIRKEE, et al.

Serial No.: 09/816,460

Examiner: Not Yet Assigned

Confirmation No.: 6434

Art Unit: 1643

Filed: March 23, 2001

For: **PROGNOSTIC METHODS FOR BREAST CANCER**

Attn: Customer Service Center
Initial Patent Examination Division
Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCES

In response to the Notice to Comply with Requirements for Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed April 9, 2002, transmitted herewith are:

- ☒ [X] a paper copy of the "Sequence Listing";
- ☒ [X] a copy of the "Sequence Listing" in computer readable form on a:
 - ☒ [X] 3 1/2" floppy disk;
 - ☐ [] 5 1/4" floppy disk;
- ☒ [X] a preliminary amendment directing the entry of the Substitute Sequence Listing;
- ☒ [X] a copy of Notice re Sequence Listing:


The information recorded in computer readable form is identical to the written sequence listing.

This submission includes no new matter.

Cooley Godward LLP
Attn: Patent Group
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306-2155
Tel: (650) 843-5000
Fax: (650) 857-0663
ML:hh

Respectfully submitted,
COOLEY GODWARD LLP

By:



Marcella Lillis, Ph.D.
Reg. No. 36,583



UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JUN 19 2002

TECH. CENTER 1600/2900
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/816,460	03/23/2001	Shanaz H. Dairkee	CPMC-010/00US

23419
COOLEY GODWARD, LLP
3000 EL CAMINO REAL
5 PALO ALTO SQUARE
PALO ALTO, CA 94306



CONFIRMATION NO. 6434

FORMALITIES LETTER



OC000000007827620

Date Mailed: 04/09/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE